

Office of the Chancellor
UNIVERSITY of ALASKA ANCHORAGE

TO: Deans, Directors, Department Chairs and Administrative Staff
FROM: Chancellor Tom Case *T. Case*
SUBJECTS: Export Control Regulations and Policies

[Regulations](#)

[Export Control](#)

It is UAA policy that all personnel, including university business in compliance with UAA, despite funding by a grant, contract, or otherwise, must follow UAA's export control procedures, as well as UAA's Export License Procedures attached to this memo for your review.

RISKS

Qualification for an export license exemption documented by the University. Failure to document risks for the Principal Investigator and University technology, or software in violation of UAA export control laws and policies, whether

Fundamental Research Exclusion

Most of UAA's research activities are exempt for fundamental research, which is defined as research conducted at an accredited U.S. institution, published and disseminated broadly to the scientific community. Fundamental research exemption allows research communities to participate in research

fundamental research, the technology, software, or other information subject to export controls requires approval prior to all

It is crucial to note that export or deemed export takes place.

The University supports fundamental research projects. With that in mind, the University asks Departments to be aware of export controls. The Vice Provost for Research oversees the oversight of export controls for investigators and departments. An exemption is applied

CONSEQUENCES

Violating export controls can result in penalties up to 10 years, fines, and imprisonment. It is pertinent that Proctors are aware of these regulations and consequences.

WHO TO CONTACT

The University's export controls office is located in the Office of the Vice Provost for Research and frequently asks for assistance. For more information, visit <http://www.uaa.alaska.edu/research/ric/exportcontrols.cfm>

The Office of the Vice Provost for Research is available if one is necessary until a determination is made.

For additional information,

- Dr. Helena Wisniewski, Provost for Research, hwisniewski@uaa.alaska.edu or 786-4566
- Dr. Dianne Toebe, Compliance Officer, dtoebe@uaa.alaska.edu or 786-4566
- Kelly McLain, Research Compliance Administrator, kmclain@uaa.alaska.edu or 786-1111